



MarketAxess Releases 2021 Environmental, Social and Governance (ESG) Report

April 19, 2022

NEW YORK – April 19, 2022 – MarketAxess Holdings Inc. (Nasdaq: MKTX), the operator of a leading electronic trading platform for fixed-income securities, and the provider of market data and post-trade services for the global fixed-income markets, today announced the launch of the Company's 2021 ESG Report.

"After more than two decades as a catalyst for change in the world's largest capital market, we understand the sustained effort required to make a meaningful difference in the world," said Rick McVey, Chairman and CEO of MarketAxess. "Our ESG strategy reflects our ambition to have a significant, positive impact on communities around the world. This ambition is anchored in our purpose: to open global markets, creating more opportunities for the companies, institutions, and individuals who depend on them."

MarketAxess continues to see record green bond trading volumes through the "Trading for Trees" program, in which five trees are planted for every \$1 million of Green Bond trading volume executed on the MarketAxess platform. The program is a partnership with One Tree Planted, a non-profit organization focused on global reforestation. The 2021 calendar year resulted in 255,000 trees planted in addition to a record of 82,000 trees in Q1 2022. This increases the total number of trees planted to over 466,000 since the program's launch in January 2020.

"My goal when starting One Tree Planted was to make it simple for anyone to plant trees and to understand the impact that reforestation can make," said Matt Hill, Founder & Chief Environmental Evangelist at One Tree Planted. "Our partnership with MarketAxess through the Trading for Trees program has helped us fund some of our most crucial projects, which restore vital ecosystems, empower local communities and combat the effects of climate change."

MarketAxess also continues to invest in its [Diversity Dealer Initiative](#), which helps clients efficiently access the services and trading liquidity of minority-, women- and veteran-owned broker-dealers as part of their own commitment to ESG principles.

MarketAxess' annual ESG Report, now in its third year, highlights the Company's progress in critical ESG areas. This year's report details the findings of the Company's first comprehensive, non-financial ESG materiality and prioritization assessment, informed by the relevant issues and disclosure topics identified by the Sustainability Accounting Standards Board (SASB) and various ESG ratings agencies. As a result, this year's report includes expanded disclosures on the Company's progress on diversity, equity and inclusion and human capital management initiatives, in addition to disclosures on other priority topics identified by the assessment, including customer privacy, data security, ethical conduct of business and employee health and wellbeing.

To learn more about MarketAxess' ESG efforts and to view the report in full, visit [our website](#) or reach out to sustainability@marketaxess.com.

About MarketAxess

MarketAxess (Nasdaq: MKTX) operates a leading electronic trading platform that delivers greater trading efficiency, a diversified pool of liquidity and significant cost savings to institutional investors and broker-dealers across the global fixed-income markets. Nearly 1,900 firms leverage MarketAxess' patented technology to efficiently trade fixed-income securities. MarketAxess' award-winning Open Trading® marketplace is widely regarded as the preferred all-to-all trading solution in the global credit markets. Founded in 2000, MarketAxess connects a robust network of market participants through the full trading lifecycle, including automated trading solutions, intelligent data products and a range of post-trade services. Learn more at www.marketaxess.com and on Twitter [@MarketAxess](#).

Cautionary Note Regarding Forward-Looking Statements

This press release may contain forward-looking statements, including statements about the outlook and prospects for Company and industry growth, as well as statements about the Company's future financial and operating performance. These and other statements that relate to future results and events are based on MarketAxess' current expectations. The Company's actual results in future periods may differ materially from those currently expected or desired because of a number of risks and uncertainties, including: global economic, political and market factors; risks relating to the COVID-19 pandemic, including the possible effects of the economic conditions worldwide resulting from the COVID-19 pandemic; adverse effects as a result of climate change or other ESG risks that could affect our reputation; the level of trading volume transacted on the MarketAxess platform; the rapidly evolving nature of the electronic financial services industry; the level and intensity of competition in the fixed-income electronic trading industry and the pricing pressures that may result; reputational or credibility risks related to our data products and index business; the variability of our growth rate; our ability to introduce new fee plans and our clients' response; our ability to attract clients or adapt our technology and marketing strategy to new markets; risks related to our growing international operations; our dependence on our broker-dealer clients; the loss of any of our significant institutional investor clients; our exposure to risks resulting from non-performance by counterparties to transactions executed between our clients in which we act as an intermediary in matched principal trades; risks related to self-clearing; the effect of rapid market or technological changes on us and the users of our technology; our dependence on third-party suppliers for key products and services; our ability to successfully maintain the integrity of our trading platform and our response to system failures, capacity constraints and business interruptions; the occurrence of design defects, errors, failures or delays with our platforms; our vulnerability to cyber security risks; our actual or perceived failure to comply with privacy and data protection laws; our ability to protect our intellectual property rights or technology and defend against intellectual property infringement or other claims; our ability to enter into strategic alliances and to acquire other businesses and successfully integrate them with our business; our dependence on our management team and our ability to attract and retain talent; limitations on our flexibility because we operate in a highly regulated industry; the increasing government regulation of us and our clients; risks related to the U.K.'s exit from the European Union; our exposure to costs and penalties related to our extensive regulation; our risks of litigation and securities laws liability; our future capital needs and our ability to obtain capital when needed; limitations on our operating flexibility contained in our credit agreement; and other factors. The Company undertakes no obligation to update any forward-looking statements, whether as a result of new information, future events or otherwise. More information about these and other factors affecting MarketAxess' business and prospects is contained in MarketAxess' periodic filings with the Securities and Exchange Commission and can be

accessed at www.marketaxess.com.